

Reg. No. :

Name :

Fourth Semester B.Com. Degree Examination, July 2019

First Degree Programme Under CBCSS

Core Course CO 1441 / CC 1442

CAPITAL MARKET

(Common for Commerce/Commerce with Computer Application)

(2014 Admission Onwards)

Time : 3 Hours

Max. Marks : 80

SECTION - A

Answer **all** questions to a maximum of two sentences. Each question carries **1** mark.

1. What do you mean by financial market?
2. What is kerb trading?
3. What is QIP?
4. Define derivatives.
5. Who are Book Runners?
6. What is red herring prospectus?
7. Expand DFHI.
8. What is a forward contract?
9. What are swaps?
10. What is notice money?

(10 × 1 = 10 Marks)

P.T.O.

SECTION - B

Answer any **eight** questions in not exceeding one paragraph each. Each question carries **2** marks.

11. What is dematerialization of securities? List two benefits.
12. Discuss the merits of T-Bills.
13. What are Depository Receipts.
14. Describe 'Wash sales'.
15. Mention the objectives of SEBI.
16. Who is a Registrar to an issue?
17. Explain ASBA.
18. What is Underwriting?
19. Who are Commission brokers?
20. What is Cornering?
21. How is an investor different from a speculator?
22. What is ESOP?

(8 × 2 = 16 Marks)

SECTION - C

Answer any **six** questions in not exceeding 120 words each. Each question carries **4** marks.

23. Explain the advantages of listing.
24. Discuss the steps in book-building process.

25. Explain the terms (a) New issue (b) Public issue (c) Bonus issue and (d) Right issue.
26. State the powers of SEBI.
27. Distinguish between futures and options.
28. Write a note on any four innovative financial instruments.
29. Give a brief account on the members of stock exchange.
30. Discuss the functions of a depository.
31. Describe the various types of debentures.

(6 × 4 = 24 Marks)

SECTION - D

Answer any **two** questions in not exceeding **4** pages each. Each question carries **15** marks.

32. Give a brief account on the management of SEBI.
33. Critically evaluate the recent trends in Indian Capital Market.
34. Describe the important intermediaries in the new issue market and their role in issue management.
35. Discuss the procedure of trading in Indian Stock Exchanges.

(2 × 15 = 30 Marks)